ASI CERTIFICATION
CHAIN OF CUSTODY STANDARD

PRESENTED TO

Nemak Dillingen GmbH, Nemak Pilsting GmbH, Nemak Linz GmbH, Nemak Wernigerode GmbH, Nemak Poland SP. Z O.O., Nemak Slovakia s.r.o.

CERTIFICATE NUMBER
415

ASI STANDARD
CHAIN OF CUSTODY STANDARD (V2 2022)

CERTIFICATION LEVEL
FULL CERTIFICATION

ASI ACCREDITED AUDITING FIRM
TÜV RHEINLAND CERT GmbH

DATE OF ISSUE
9 DECEMBER 2024

DATE OF EXPIRY
8 DECEMBER 2027

CERTIFIED SINCE
9 DECEMBER 2024

AUTHORISED BY



Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at

www.aluminium-stewardship.org

CERTIFICATION SCOPE

The Certification Scope for Nemak's multi-site Chain of Custody Certificate includes the Nemak Dillingen, Nemak Pilsting and Nemak Wernigerode Facilities in Germany, the Nemak Linz Facility in Austria, the Nemak Poland Facility and the Nemak Slovakia Facility. This Certification Scope covers the production processes, operational activities, and supply chain management related to the manufacturing of high-quality Aluminium components primarily for the automotive industry.

The Certification Scope also includes the Facilities' Outsourcing Contractors.

SUMMARY AUDIT REPORT **CHAIN OF CUSTODY STANDARD**

OVERVIEW

MEMBER NAME	Nemak S.A.B de C.V
ENTITY NAME	Nemak Dillingen GmbH, Nemak Pilsting GmbH, Nemak Linz GmbH, Nemak Wernigerode GmbH, Nemak Poland SP. Z O.O., Nemak Slovakia s.r.o.
CERTIFICATION SCOPE	The Certification Scope for Nemak's multi-site Chain of Custody Certificate includes the Nemak Dillingen, Nemak Pilsting and Nemak Wernigerode Facilities in Germany, the Nemak Linz Facility in Austria, the Nemak Poland Facility and the Nemak Slovakia Facility. The Certification Scope encompasses the manufacturing and supply chain activities at these facilities. This Certification covers the production processes, operational activities, and supply chain management related to the manufacturing of high-quality Aluminium components primarily for the automotive industry. The Certification Scope also includes the Facilities' Outsourcing Contractors.
SUPPLY CHAIN ACTIVITIES	• Casthouses • Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	• Initial Certification Audit (21 – 24 October 2024) • Surveillance Audit and Scope Change (1 April 2026)
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	• 21 – 24 October 2024 (Initial Certification Audit) • 1 April 2026 (Surveillance Audit and Scope Change)
AUDIT REPORT SUBMISSION	• 6 November 2024 (Initial Certification Audit) • 3 November 2026 (Surveillance Audit and Scope Change)
AUDIT SCOPE	Initial Certification Audit (21 – 24 October 2024) The Audit Scope included the production of Aluminium components for the automotive industry at Nemak Poland SP. Z O.O. and Nemak Slovakia s.r.o. and includes Outsourcing Contractors. The supply chain activities included in the Audit Scope: • Casthouses • Post-Casthouse All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope. Surveillance Audit and Scope Change (1 April 2026) The Audit Scope included the production processes, operational activities, and supply chain management related to the manufacturing of high-quality Aluminium components primarily for the automotive industry at the Nemak Dillingen, Nemak

	Pilsting and Nemak Wernigerode Facilities in Germany, the Nemak Linz Facility in Austria, the Nemak Poland Facility and the Nemak Slovakia Facility. The supply chain activities included in the Audit Scope: • Casthouses • Post-Casthouse All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	9 December 2024 – 8 December 2027
NEXT AUDIT TYPE	Re-Certification Audit
NEXT AUDIT DUE DATE	8 December 2027
CERTIFICATE NUMBER	415
	If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: **https://aluminium-stewardship.ethicspoint.com/** EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations. Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Nemak S.A.B de C.V ('Nemak', or 'the Group') is a provider of lightweight solutions for the automotive industry, specialising in developing and manufacturing Aluminium components for powertrain, e-mobility, and structural applications. In 2022, the Group generated revenue of US $4.7 billion. In 2022, Nemak joined the Aluminium Stewardship Initiative (ASI).

Nemak Dillingen GmbH, Nemak Pilsting GmbH, Nemak Linz GmbH, Nemak Wernigerode GmbH, Nemak Poland SP. Z O.O., and Nemak Slovakia s.r.o. (collectively the 'Entity') are 100% owned subsidiaries of Nemak S. A. B. de C. V. Nemak Dillingen GmbH is located in Dillingen, Saarland, Germany and produces Aluminium components for the automotive industry, including engine blocks and e-engine housings. Nemak Pilsting GmbH is located in Pilsting, Bayern, Germany and produces structure components for the automotive industry. Nemak Linz GmbH is located in Linz, Upper Austria, Austria and produces cylinder heads for the automotive industry with ROTACAST technology, which also includes a Product Development Centre (PDC). Nemak Wernigerode GmbH is located in Wernigerode, Sachsen-Anhalt, Germany and produces light metal powertrain components for the automotive industry. Nemak Poland SP. Z O.O. operates a High-Pressure Die Casting (HPDC) plant and produces powertrain and structure components for the automotive industry. Nemak Slovakia s.r.o. produces Aluminium components for the automotive industry, including engine blocks, transmission cases, e-engine housings, shock towers and battery housings, using processes including gravity casting, high-pressure casting, heat treatment, and further internal processing is conducted internally. The Entity employs approximately 4,000 Workers across the six Facilities.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	High
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The parent company of the Entity, Nemak S.A.B de C.V, is an ASI member, committed to complying with ASI's membership obligations and the ASI complaints mechanism. The Entity's parent company is in the Production and Transformation membership class. The Entity also holds Certification against the ASI Performance Standard. For further information please see the ASI website at: https://aluminium-stewardship.org/about-asi/members/Nemak-S-A-B-de-C-V
1.2 CoC Management System	Conformance	The Entity has established a dedicated Management System for handling ASI Aluminium under the requirements of the ASI Chain of Custody (CoC) Standard. The CoC Management System is integrated into the Entity's sustainability management system. The sustainability management system is reviewed annually on both a technical and managerial level.
1.3 CoC Management System Monitoring	Conformance	The Entity has established a procedure to review its CoC Management System annually.
1.4 Management Representative	Conformance	The Entity has appointed its Global Metal Purchasing Director as the ASI CoC Standard Management Representative to be responsible for the CoC Standard implementation and conformance. Roles and responsibilities regarding the ASI CoC Standard implementation and governance are defined in the Entity's CoC Standard procedures manual. The ASI CoC Management Representative is supported by the Entity's global sustainability team and local ASI co-ordinators.
1.5 Communications and Training	Conformance	The Entity has developed and implemented communications and training measures that make relevant personnel aware of, and competent in their responsibilities under the ASI CoC Standard. Adequate training was demonstrated during the Audit.
1.6 Records Management	Conformance	The Entity has defined its process to ensure that records covering all applicable requirements of the ASI CoC Standard are up to date and maintained. The Entity's operating procedures define the records retention time as a minimum of five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity shall report Input and Output Quantities of CoC Material to/from the Certified Entity over the calendar year to the ASI Secretariat by 30 June of the year following the end of each calendar year.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity's operating procedure specifies that the Entity shall report Input and Output Quantities of Eligible Scrap to/from the Certified Entity over the calendar year to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity shall report Input and Output Quantities of Non-CoC Material to/from the Certified Entity over the calendar year to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's operating procedure specifies that the Entity shall report a Positive Balance carried over to the subsequent Material Accounting Period, if any, to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's operating procedure specifies that the Entity shall report the Positive Balance used, if any, to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's operating procedure specifies that the Entity shall report the Internal Overdraw drawn down from the subsequent Material Accounting Period, if any, to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity's operating procedure specifies that the Entity shall report quantities of CoC Material transferred between supply chain activities within the CoC Certified Entity (intra-Entity flows) over the calendar year to the ASI Secretariat in time to meet the deadline of 30 June of the year following the end of each calendar year.
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Conformance	Outsourcing Contractors have been incorporated into the Entity's Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Conformance	The Entity confirmed that it has ownership of all ASI CoC Material handled by its Outsourcing Contractors.
2.2b Control of CoC Material (No further outsourcing)	Conformance	Subcontracting of any processing, treatment or manufacturing of ASI CoC Material by Outsourcing Contractors is prohibited by the Entity's General Terms and Conditions in conjunction with the Entity's internal ASI CoC Outsourcing Contractors Policy.
2.2c Control of CoC Material (Risk assessment)	Conformance	All Outsourcing Contractors undergo a risk assessment to ensure that they meet the applicable requirements of the ASI CoC Standard and that the Entity only engages Outsourcing Contractors where the risk has been evaluated as acceptable. The process is described in the Entity's Outsourcing Contractors Policy.
2.3 Information on Quantity of CoC Material Output and Returned	Conformance	The Entity has a system in place to ensure that the Outsourcing Contractors provide information on received and returned CoC Material quantities at least annually. The Entity also conducts annual 'stock taking' with its Outsourcing Contractors.

CRITERION	RATING	COMMENT
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Conformance	The Entity has a system in place to verify that the quantity of CoC Material output returned by its Outsourcing Contractors are consistent with the quantity of CoC Material provided to the Outsourcing Contractors; the system also requires the Entity to record these quantities in its Material Accounting System.
2.5 Error (Outsourcing Contractor)	Conformance	Error handling processes are defined in writing to ensure that if an error is discovered after CoC Material has been shipped, the Entity and the Outsourcing Contractor will document the error and undertake agreed steps taken to correct it and implement actions to avoid a recurrence. As part of the Entity's Management System, the effectiveness of the implementation of the measures will be verified.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has a system in place to ensure that ASI Aluminium is produced only from its own Casthouses, which are within the Entity's CoC Standard Certification Scope. This is defined in the Entity's ASI CoC Standard procedures manual.
5.1b ASI Aluminium (Performance Standard)	Conformance	All the Entity's Facilities are certified against the ASI Performance Standard. Refer to the ASI membership website at: https://aluminium-stewardship.org/about-asi/members/Nemak-S-A-B-de-C-V
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has a process in place to ensure that all ASI Aluminium is sourced either directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document.
5.2 Unique Identification	Conformance	In accordance with customer requirements, the Entity has established processes to ensure the traceability of its products, using unique identification numbers on the delivery notes for this purpose and enabling the tracking via its enterprise resource planning system (SAP).
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has a system in place to ensure that ASI Aluminium is produced only from its own Casthouses, which are within the Entity's CoC Standard Certification Scope. This is defined in the Entity's ASI CoC Standard procedures manual.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	All the Entity's Facilities are certified against ASI Performance Standard. See ASI membership website: https://aluminium-stewardship.org/about-asi/members/Nemak-S-A-B-de-C-V
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has a process in place to ensure that all ASI Aluminium is sourced either directly from another ASI CoC Certified Entity, or via a Trader, where the ASI CoC Certified Entity that is the source of the ASI Aluminium can be identified and can provide a verified CoC Document.

CRITERION	RATING	COMMENT
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has established a Business Code for Suppliers which includes an Anti-Corruption Policy that meets the requirements of the ASI CoC Standard. The Entity requires its suppliers to comply with this code and policy. These documents are available at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has established a Business Code for Suppliers that meets the ASI CoC Standard requirements. All suppliers to the Entity must acknowledge this Code before they can be approved as a supplier. This document is available at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has issued, communicated and implemented its Business Code for Suppliers. The Entity's Business Code for Suppliers addresses Human Rights Due Diligence, and is available at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Minor Non-Conformance	The Entity's Business Code for Suppliers addresses human rights, and specifically Conflict-Affected and High-Risk Areas (CAHRAs), however suppliers of Aluminium are not explicitly required to establish a strong management system regarding CAHRAs, to identify and assess risks in the supply chain, to design and implement a strategy to respond to identified risks and to publicly report annually on supply chain Due Diligence. The Code is available at: https://nemak.com/sustainability/?sc=0#sustainabilityPolicies
7.2 Risk Assessment and Mitigation	Conformance	A structured approach to risk assessment and mitigation of suppliers has been demonstrated in a documented process. Documented assessments and supplier audits are regularly undertaken. The Entity's Business Support Team facilitates effective follow-up, while the Entity's purchasing team collaborates with suppliers to address non-compliance risks.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a complaints resolution mechanism and facilitates the public to raise concerns on potential non-compliances with its Business Code for Suppliers. The 'helpline' can be communicated in various languages, either by e-mail or by telephone. The English helpline is available via: https://www.alfa.com.mx/en/transparency/english/
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System that safeguards the integrity of CoC Material. The system consists of a specific Material Accounting System which tracks CoC Material flow to, from and within the Entity.
8.2 Material Accounting Period	Conformance	The Entity has decided to use the calendar year as its Material Accounting Period. This is defined in the Entity's ASI procedures manual.

CRITERION	RATING	COMMENT
8.3 Input and Inflow Quantities	Conformance	The Entity's Management System includes a Material Accounting System that records the quantities of transboundary movements of Aluminium (received, transferred and shipped). The Inflow Quantities of each of CoC and Non-CoC Material to the Entity is recorded. Eligible Scrap and input recyclable Scrap material Inflows are not considered as these materials are not handled by the Entity.
8.4 Output Quantities of CoC Material	Conformance	The Entity's ASI CoC procedure specifies that over the given Material Accounting Period, it will use the Input Quantities for CoC Material to determine the available Outflow Quantities of CoC Material, proportional to total Inflows of CoC and Non-CoC Material, by mass. This is tracked using the Entity's Material Accounting System, based on its Enterprise Resource Planning (ERP) system. The Entity has decided to not declare generated Aluminium Scrap from processing as CoC Material.
8.5 Indivisibility of CoC Material	Conformance	The Entity has defined in its CoC procedures manual, that its Output Quantity of CoC material is defined as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable to the Entity, as it has decided to not designate the relevant proportion as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	Quantities of CoC Material Output and Input are recorded in the Entity's Material Accounting System (SAP). A report is regularly generated to ensure that over a reporting year, the Output Quantities do not proportionally exceed Input Quantities.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity plans to prevent overdraw situations by planning the purchasing of CoC Material using a 'buffer'. The Entity however has described in its ASI CoC procedures manual, that in a Force Majeure situation, the Entity may draw down an Internal Overdraw from the subsequent Material Accounting Period, respecting the limit of 20% of total Input Quantity of CoC Material for the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity plans to prevent overdraw situations by planning the CoC material purchasing considering a buffer. The Entity has however described in its ASI CoC procedures manual, that in a Force Majeure situation, the Entity may draw down an Internal Overdraw from the subsequent Material Accounting Period and that the Internal Overdraw shall not exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity plans to prevent overdraw situations by planning the CoC material purchasing considering a buffer. The Entity however has described in its ASI CoC procedures manual, that in a Force Majeure situation, the Entity may draw down an Internal Overdraw from the subsequent Material Accounting Period and that the Internal Overdraw shall be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has described in its ASI CoC procedures manual, that in the event that the Entity has a Positive Balance of CoC Material at the end of a Material Accounting Period, this may be carried over to the subsequent Material Accounting Period and reported to ASI.

CRITERION	RATING	COMMENT
8.9b Positive Balance (Expiry)	Conformance	The Entity has described in its ASI CoC procedures manual that a Positive Balance generated in one Material Accounting Period and carried over to the subsequent period shall expire at the end of that period, if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities as evidenced by a review of the Entity's ASI CoC Standard related procedures, and a review of 'trial' CoC Documents and interviews with personnel.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes the date of issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes a reference number, which is linked to the Entity's Material Accounting System for verification purpose.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes the identity, address and CoC Certification number of the Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes the identity and address of the customer receiving the CoC Material, and if it is another CoC Certified Entity, their CoC Certification number.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes the responsible employee of the Entity who can verify information in the CoC Document.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes a statement confirming that the information provided in the CoC Document is in conformance with the ASI CoC Standard.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes the type of CoC Material in the shipment.

CRITERION	RATING	COMMENT
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC certified entities. The design of the CoC Documents includes the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's CoC processes ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC certified entities. The design of the CoC Documents includes the mass of total material in the shipment.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as it does not presently wish to include optional data, such as the average carbon footprint of the CoC material and accounting method applied, in its CoC Documents.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable to the Entity, as it does not presently wish to include optional data, such as information to support the origin of Aluminium as per ASI Performance Standard Criterion 9.8, in its CoC Documents.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable to the Entity, as it does not presently wish to include optional data, such as recycled content, in its CoC Documents.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity, as it does not presently wish to include optional data, such as the certification status for the ASI Performance Standard for the Entity and/or facility issuing the CoC Document, in its CoC Documents.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable to the Entity, as it does not presently wish to include optional data, such as supplementary information about the Entity or CoC material, in its CoC Documents.
9.5 Verification of Information	Conformance	The Entity has defined the process and responsibility for verification of information in a documented procedure, to enable it to respond to requests for verification of information in CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity has assigned responsibilities for error handling and has implemented documented processes, which specify the way in which errors regarding CoC shipments shall be handled (e.g. documentation of the error, root cause analysis, communication, improvement actions to avoid recurrence).
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has defined a process to verify any received CoC Documents.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has defined in their ASI CoC procedures manual how to verify received CoC Documents. All deliveries are to be verified when entering the site.

CRITERION	RATING	COMMENT
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has defined a quarterly check of the validity and scope of suppliers ASI CoC Certifications.
10.4 Error (Reception)	Conformance	The Entity has designed verification steps to review the consistency of received CoC Documents as required by the ASI CoC Standard. Relevant staff have been trained accordingly.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Not Applicable	This Criterion is not applicable to the Entity, as it does not intend to make claims or representations about CoC Material outside of CoC Documents. If the Entity does make a claim or claims in future, it will refer to the ASI Claims Guide in their ASI CoC procedure that has specified that all such claims undergo a check by the ASI Project Manager, prior to release.
11.1b Claims and Communications (Verifiable evidence)	Not Applicable	This Criterion is not applicable to the Entity as it does not presently intend to make claims or representations about CoC material outside of CoC Documents.
11.1c Claims and Communications (Employee training)	Not Applicable	This Criterion is not applicable to the Entity as it does not presently intend to make claims or representations on CoC material outside of CoC Documents. The Entity's ASI project team, including communications/marketing have however been trained appropriately.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	9 December 2024	Initial Certification Audit – Full Certification
1	11 November 2026	Surveillance and Scope Change Audit. Scope Change to transfer Certification for Nemak Dillingen GmbH, Nemak Pilsting GmbH, Nemak Linz GmbH and Nemak Wernigerode GmbH (Certificate 510, certified since 11 November 2025).